


**IN THE UNITED STATES PATENT  
AND TRADEMARK OFFICE**

Serial No. : 09/836,705  
Applicants : Yuki ABE et al.  
Filed : April 17, 2001  
For : METHODS FOR PRODUCING ML-236B,  
A PRAVASTATIN PRECURSOR,  
USING A HOST CELL TRANSFORMED  
WITH *mlcR*, A TRANSCRIPTION  
FACTOR  
Art Unit : 1652  
Examiner : Kathleen M. Kerr  
Docket No. : 01149/HG  
Customer No.: 01933  
Confirmation No.: 7090

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Dorothy DeFrancesco

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**REQUEST FOR FULLY INITIALED COPY OF  
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S I R :

The following was stated in Item No. 4 at the top of page 3  
of the January 16, 2004 Office Action:

"The information disclosure statement originally  
filed on October 25, 2001 and refiled on June 10, 2003  
has been considered. Two documents, Matsuoka et al.  
and Shiao et al. could not be considered due to the  
poor copies filed; new copies of these documents are  
required for their consideration."

Matsuoka et al., Eur. J. Biochem., 184, 707-713 (1989) and Shiao et al., Proc. Natl. Sci. Counc. Repub. China B. ROC, 11, 223-231 (1987), were cited on sheet 1 of the Form PTO/SB/08B dated October 25, 2001.

Submitted herewith are fully legible copies of Matsuoka et al. and Shiao et al.

The Examiner is respectfully requested to return a copy of sheet 1 of the Form PTO/SB/08B dated October 25, 2001 (a copy of which is enclosed) with the Examiner's initials in the left column next to each cited publication to indicate that the cited publications were considered and made of record.

Respectfully submitted,



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Encs.: (1) copies of Matsuoka et al. and Shiao et al.  
(2) Form PTO/SB/08A dated October 25, 2001

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PTO/SB/08B (08-00)

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Substitute for Form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application Number	09/836,705
				Filing Date	April 17, 2001
				First Named Inventor	Yuki ABE
				Group Art Unit	1645
				Examiner Name	
Sheet	1	of	3	Attorney Docket Number	01149/HG

**OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of author (in CAPITAL LETTERS), title of article, title of item, date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
		<p>Akira ENDO et al., ML-236A, ML-236B, and ML-236C, "New Inhibitors of Cholesterologenesis Produced By <i>Penicillium Citrinum</i>", <u>The Journal of Antibiotics</u>, <u>29</u>, 1346-1348 (1976)</p> <p>Tatsuji MATSUOKA et al., "Purification and Characterization of Cytochrome P-450<sub>sca</sub> from <i>Streptomyces Carbophilus</i>", <u>Eur. J. Biochem.</u>, <u>184</u>, 707-713 (1989)</p> <p>Richard MOORE et al., "Biosynthesis of the Hypocholesterolemic Agent Mevinolin By <i>Aspergillus terreus</i>. Determination of the Origin of Carbon, Hydrogen, and Oxygen Atoms by <sup>13</sup>C NMR and Mass Spectrometry", <u>J. Am. Chem. Soc.</u>, <u>107</u>, 3694-3701 (1985)</p> <p>Ming-Shi SHIAO and Hsiao-Shek DON, "Biosynthesis of Mevinolin, A Hypocholesterolemic Fungal Metabolite, in <i>Aspergillus terreus</i>", <u>Proc. Natl. Sci. Coun. Repub. China B. ROC</u>, <u>11</u>, 223-231 (1987)</p> <p>David A. HOPWOOD and David H. SHERMAN, "Molecular Genetics of Polyketides and its Comparison to Fatty Acid Biosynthesis", <u>Annu. Rev. Genet.</u>, <u>24</u>, 37-66 (1990)</p> <p>C. Richard HUTCHINSON and Isao FUJII, "Polyketide Synthase Gene Manipulation: A Structure-Function Approach in Engineering Novel Antibiotics", <u>Annu. Rev. Microbiol.</u>, <u>49</u>, 201-238 (1995)</p> <p>Guo Hong FENG and Thomas J. LEONARD, "Characterization of the Polyketide Synthase Gene (pksL1) Required for Aflatoxin Biosynthesis in <i>Aspergillus parasiticus</i>", <u>Journal of Bacteriology</u>, <u>177</u>, 6246-6254 (1995)</p>	
Examiner Signature		Date Considered	

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number. <sup>2</sup> Place a check here if English translation is attached.

DATE MAILED: OCTOBER 25, 2001